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MATHLI CULTOMER SERVICE CENTER SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE Attorney Docket No. 08472/716002 PATENT AND TRADEMARK OFFICE MODIFIED) Serial No. 08/989,881 INFORMATION DISCLOSURE Applicant Jen Sheen STATEMENT BY APPLICANT Filing Date December 12, 1997 (Use several sheets if necessary) 1649 Group U.S. PATENTS Class Subclass Filing Date Examiner's Patent Number **Issue Date** Patentee (If Appropriate) Initials FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION Subclass Country or Class Translation Document Publication Patent Office (Yes/No) Number Date OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) Murata et al., "Genetically Engineered Alteration in the Chilling Sensitivity of Plants," Nature 356:710-712 (1992).Shen et al., "Modular Nature of Abscisic Acid (ABA) Response Complexes: Composite Promoter Units That Are Necessary and Sufficient for ABA Induction of Gene Expression in Barley," The Plant Cell 8:1107-1119 (1996). Skriver et al., "Gene Expression in Response to Abscisic Acid and Osmotic Stress," The Plant Cell 2:503-512 (1990).Tarczynski et al. "Expression of a Bacterial mtld Gene in Transgenic Tobacco Leads Production and Accumulation of Mannitol," Proc. Natl. Acad. Sci. USA 89:2600-2604 (1992). Tarczynski et al., "Stress Protection of Transgenic Tobacco by Production of the Osmolyte Mannitol," Science 259:508-510 (1993). Tomos, D., "Life Without Water," Current Biology 2:594-596 (1992). Urao et al., "Two genes That Encode Ca2+ Dependent Protein Kinases Are Induced by Drought and High-Salt Stresses in Arabidopsis thaliana," Mol. Gen. Genet. 244:331-340 (1994). Xu et al., "Expression of a Late Embryogenesis Abundant Protein Gene, HVA1, from Barley Confers Tolenrance to Water Deficit and Salt Stress in Transgenic Rice," Plant Physiol. 110:249-257 (1996). **EXAMINER** DATE CONSIDERED EXAMINER: Initial citation considered. Voraw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.

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